# Semantics of UML class diagrams

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#### Definition (Set)

A set is a collection of objects. The basic relation is membership:

$$x \in A$$
 (x is a member of A)

The following operations and relations are defined on sets:

empty set 
$$\varnothing$$
 is the set with no members

enumeration set 
$$\{a_1; \ldots; a_n\}$$
 contains exactly  $a_1; \ldots; a_n$ 

subset 
$$A \subseteq B$$
 iff for all  $x$ :  $x \in A$  implies  $x \in B$ 

comprehension 
$$\{x \in A \mid P(x)\}$$

(the set of all 
$$x \in A$$
 such that  $P(x)$  holds)

union 
$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

intersection 
$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

difference 
$$A \setminus B = \{x \mid x \in A \text{ and not } x \in B\}$$

powerset 
$$\mathcal{P}(A) = \{B \mid B \subseteq A\}$$

set of words (strings) over 
$$A$$
  $A^* = \{\varepsilon\} \cup \{a_1 \dots a_n \mid a_i \in A\}$ 

### Relations

#### Definition (Cartesian product)

$$A \times B = \{(a,b) \mid a \in A \text{ and } b \in B\}$$
  
 $A \times B \times C = \{(a,b,c) \mid a \in A, b \in B \text{ and } c \in C\}$   
etc.

#### Definition (Relation)

A binary relation R on A and B is given by a set of pairs

$$R \subseteq A \times B$$

 $(a,b) \in R$  often is written as a R b.

If A = B, then we speak of a binary relation R on A.



### **Orders**

#### Definition (Partial Order)

A **partial order**  $(A, \leq)$  is given by a set A and a binary relation  $\leq$  on A, such that

- for all  $x \in A$ :  $x \le x$  (reflexivity)
- for all  $x, y, z \in A$ :  $x \le y$  and  $y \le z$  imply  $x \le z$  (transitivity)
- for all  $x, y \in A$ :  $x \le y$  and  $y \le x$  imply x = y (antisymmetry)

#### Definition (Total Order)

A partial order  $(A, \leq)$  is called a **total order**, if additionally

• for all  $x, y \in A$ :  $x \le y$  or  $y \le x$  or x = y (trichotomy)



### **Orders**

#### Example (Sample partial orders)

- ullet the set of natural numbers with the usual ordering  $\leq$
- the set of natural numbers with the ordering "x can be divided by y"
- the lexicographic order on strings (used for sorting)
- the prefix order on strings

Which of these are total?



## Semantics of UML class diagrams

- ullet The semantics is given by a mathematical function  $\mathcal{S}$ , representing a snapshot of a system
- ullet A snapshot  ${\cal S}$  includes all objects of a system, and their relations
- The evolution of a system can be represented by the transition of a system from a snapshot  $S_1$  to a new snapshot  $S_2$ 
  - evolution of a system is only considered later (state machines)

# Semantics of a class hierarchy

- ullet A class hierarchy is given a by a partial order  $(C,\leq)$ 
  - antisymmetry means that cyclic subclasses are forbidden
- ullet Each class  $c \in \mathcal{C}$  is interpreted as a finite set  $\mathcal{S}(c)$ 
  - ullet  $\mathcal{S}(c)$  is the set of objects that are instances of class c
- If  $c \leq d$ , then  $\mathcal{S}(c) \subseteq \mathcal{S}(d)$  must hold
  - hence, "each c is a d"

## Semantics of a generalisation set

• disjoint( $c_1 \leq d, \ldots, c_n \leq d$ ) expresses the condition

$$\mathcal{S}(c_i) \cap \mathcal{S}(c_j) = \emptyset$$
 for  $i \neq j$ 

• complete $(c_1 \leq d, \ldots, c_n \leq d)$  expresses the condition

$$\mathcal{S}(c_1) \cup \cdots \cup \mathcal{S}(c_n) = \mathcal{S}(d)$$

no condition for overlapping and incomplete

# Semantics of enumeration types and built-in types

• An enumeration type T with literals  $l_1, \ldots, l_n$  has as its semantics the set of literals:

$$\mathcal{S}(T) = \{I_1, \dots, I_n\}$$

A built-in type has a predefined semantics, e.g.

$$\mathcal{S}(\mathsf{integer}) = \mathbb{Z}$$

$$\mathcal{S}(\mathsf{string}) = A^*$$

where A is a suitable set of characters



### **Functions**

### Definition (Function)

A function f from a set A to a set B, written  $f:A \rightarrow B$ , associates with some of the elements  $a \in A$  a unique element  $b \in B$ . This association is symbolically expressed as f(a) = b. The elemen  $a \in A$  is called the argument and b the value of the function application f(a). If there is no b, then f(a) is undefined.

Note: a function  $f: A \rightarrow B$  can be represented as a binary relation  $graph(f) \subseteq A \times B$  as follows:

$$graph(f) = \{(a, f(a)) \mid a \in A\}$$

We have right-uniqueness:

$$(x,y) \in graph(f)$$
 and  $(x,z) \in graph(f)$  imply  $y = z$ 

#### Definition (Total function)

A function  $f: A \rightarrow B$  is **total**, if f(a) is defined for all  $a \in A$ . In this case, we write  $f: A \rightarrow B$ .

### Semantics of attributes

The semantics of an attribute a:T of a class c is given by a partial function

$$\mathcal{S}(a):\mathcal{S}(c) \rightarrow \mathcal{S}(T)$$

The function is needed to be partial because the value of the attribute may not have been initialised yet.

If an attribute a: T has multiplicity other than 1, the semantics is given by

$$\mathcal{S}(a):\mathcal{S}(c) \nrightarrow \mathcal{P}(\mathcal{S}(T))$$



### Semantics of query operations

The semantics of a query operation  $op(x_1 : T_1; ... x_n : T_n) : T$  of a class c is given by a partial function

$$\mathcal{S}(\textit{op}): \mathcal{S}(\textit{c}) \times \mathcal{S}(\textit{T}_1) \times \cdots \times \mathcal{S}(\textit{T}_n) \nrightarrow \mathcal{S}(\textit{T})$$

Non-query operations will lead to a new snapshot and are not considered here.

### Semantics of associations

• Given two classes c and d, the semantics of an association  $c \stackrel{a}{\longrightarrow} d$  is given by a binary relation

$$\mathcal{S}(a) \subseteq \mathcal{S}(c) \times \mathcal{S}(d)$$

• An association  $c \stackrel{a}{\longrightarrow} d$  satisfies the multiplicity

$$c \frac{a}{m..n} d$$

if for all  $y \in \mathcal{S}(d)$ ,

$$m \le |\{x \mid (x,y) \in \mathcal{S}(a)\}| \le n$$

Here, |X| is the number of elements in X (also called cardinality of X).



## Consistency

- A UML class diagram is consistent, if there is at least one snapshot satisfying all its conditions. Otherwise, it is inconsistent.
- A UML class diagram is strongly consistent, if there is at least one snapshot intepreting all classes as non-empty sets satisfying all its conditions.

### Semantics of association ends

The semantics of an association end

$$c \stackrel{\mathsf{e}}{-} d$$

is given by a function  $\mathcal{S}(e):\mathcal{S}(d) o\mathcal{P}(\mathcal{S}(c))$ :

for 
$$y \in \mathcal{S}(d)$$
,  $\mathcal{S}(e)(y) = \{x \mid (x, y) \in \mathcal{S}(a)\}$ 

• The semantics of an association end with multiplicity 0..1

$$c \stackrel{e}{\xrightarrow{0..1}} d$$

is given by a partial function  $S(e) : S(d) \rightarrow S(c)$ :

for 
$$y \in \mathcal{S}(d), \ \mathcal{S}(e)(y) = \text{ the } x \text{ with } (x,y) \in \mathcal{S}(a) \text{ (if existing)}$$



### Semantics of association ends

• The semantics of an association end with multiplicity 1

$$c \stackrel{\mathsf{e}}{=} \stackrel{\mathsf{a}}{=} d$$

is given by a total function  $\mathcal{S}(e):\mathcal{S}(d) o \mathcal{S}(c)$ :

for 
$$y \in \mathcal{S}(d), \ \mathcal{S}(e)(y) = \ \text{the } x \text{ with } (x,y) \in \mathcal{S}(a) \ \text{(always exists)}$$

The semantics of an association end

$$c \frac{e}{\text{ordered}} d$$

is given by a function  $\mathcal{S}(e):\mathcal{S}(d) o (\mathcal{S}(c))^*$  with:

for 
$$y \in \mathcal{S}(d), \ x \in \mathcal{S}(e)(y)$$
 iff  $(x, y) \in \mathcal{S}(a)$ 



## Semantics of aggregations and compositions

- Aggregations and compositions are associations and inherit their semantics
- Aggregations and compositions represent part-whole relationships; hence they are irreflexive (and so are their transitive closures)
- For compositions, there is a condition on evolution of snapshots: if an object of the composite class is deleted, so must be all associated elements of component classes

### Definition (transitive closure)

Given a binary relation  $R \subseteq A \times A$ , its **transitive closure** is the least relation  $R^* \subseteq A \times A$  with

- $R \subseteq R^*$
- R\* is transitive



## Semantics of object diagrams

- $\bullet$  An object diagram specifies some objects to be part of the snapshot  ${\mathcal S}$
- If an object o is specified to have class c, then it must hold that

$$S(o) \in S(c)$$

 If there is an association a between objects o and p, then it must hold that

$$(\mathcal{S}(o),\mathcal{S}(p))\in\mathcal{S}(a)$$



# Different stages of "goodness" of UML class diagrams

- Syntactically ill-formed
- Syntactically well-formed, but static-semantically ill-formed
- Syntactically and static-semantically well-formed, but inconsistent
- Syntactically and static-semantically well-formed and consistent, but methodologically doubtful
- Syntactically and static-semantically well-formed, consistent and methodologically clean